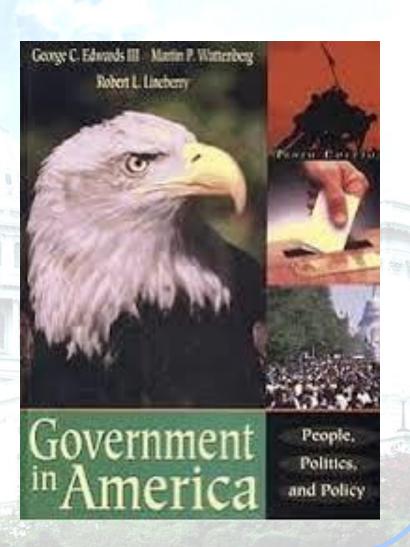
## Chapter 1

# Introducing Government in America



## Chapter 1: Introducing Government in America





- Government
- Politics
- The Policymaking System
- Democracy
- The Scope of Government in America
- Summary

## **Essential Question(s)**

- •What are the defining features of democracy?
- •What are the functions, values & characteristics of American government?



#### Government

- Definition:
  - Institutions & processes thru which public policies are made for society
- This def. leads to two basic questions:
  - How should we govern?
  - What should government do?

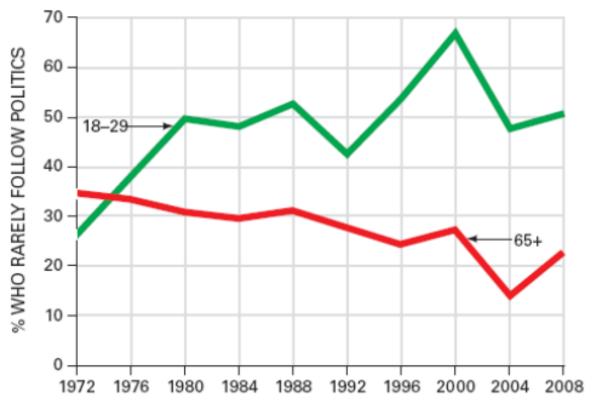


## ALL Government's 5 basic functions

- 1. Maintain a national defense
  - 2. Provide public services
- GOVER 3. Preserve order
  - 4. Socialize the young
    - 5. Collect taxes

#### FIGURE 1.1 Political Apathy Among Young and Old Americans, 1972–2008 LO 1.1

In every presidential election from 1972 to 2008, the American National Election Studies has asked a cross-section of the public the following question: "Some people seem to follow what's going on in government and public affairs most of the time, whether there's an election going on or not. Others aren't that interested. Would you say you follow what's going on in government and public affairs most of the time, some of the time, only now and then, or hardly at all?" Below we have graphed the percentage who said they only followed politics "only now and then" or "hardly at all." Lack of political interest among young people hit a record high during the 2000 campaign between Bush and Gore, when over two-thirds said they rarely followed public affairs. Since then, political interest among young people has recovered somewhat; however, compared to senior citizens, they are still twice as likely to report low political interest.

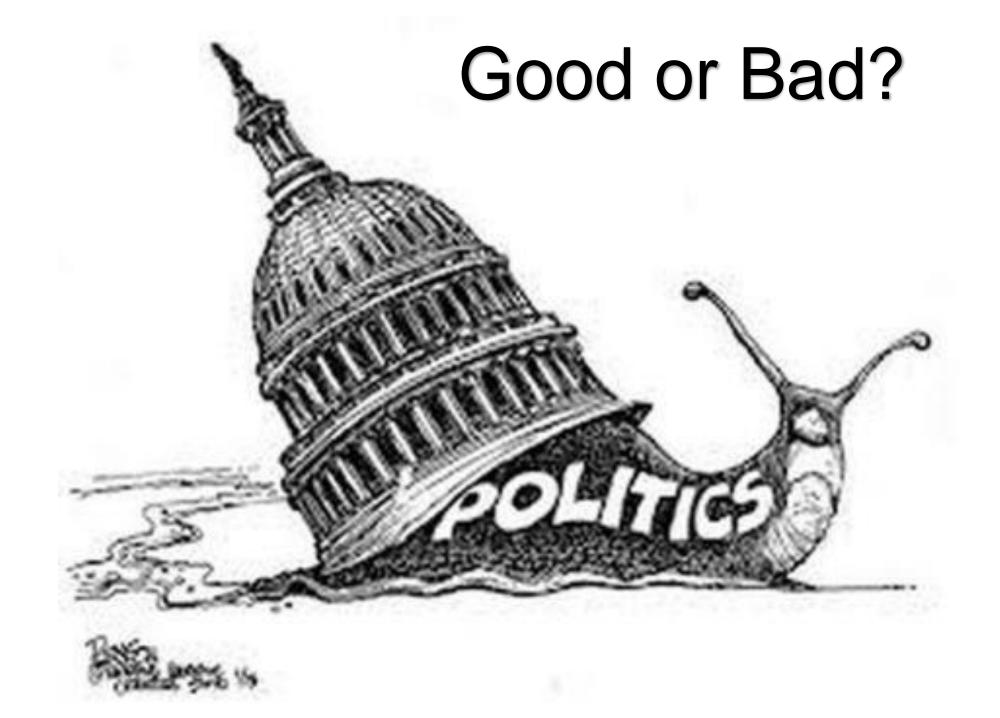


Source: Authors' analysis of 1972-2008 American National Election Studies data.

## Politics (def)

- Process selecting our governmental leaders & policies leaders produce.
  - Politics produces
     authoritative decisions
     about public issues.
  - Also consider Lasswell's definition:
    - Who gets what, when & how





## The Policymaking at on the policy as System

Political issues get on the policy agenda.

People Interests, problems, concerns Linkage institutions Parties, elections, media, interest groups

> Policy agenda Political issues

Policymaking institutions
Legislature, executive, courts, bureaucracy

policymakers make policy

Policy
Expenditures, taxes, laws, regulations,

policies Expenditures, tax laws, regulation nondecisions

People

Impacts of

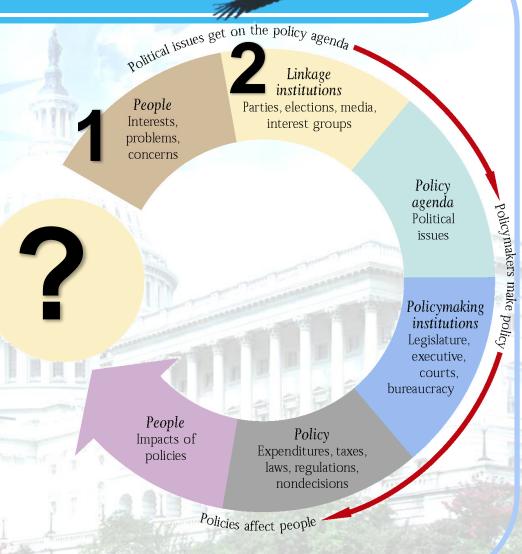
Policies affect people

Process by which policy comes into being & evolves over time

## The Policymaking System

#### People Shape Policy

- 1. People have interests, problems, & concerns.
- 2. 4 linkage institutions that get the word out are:
- Political parties
  - Elections
  - Mass media
  - Interest groups



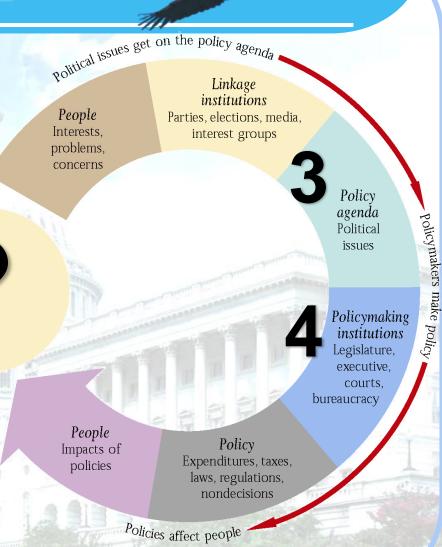
## The Policymaking System

#### 3. Policy agenda

3. Issues that attract serious attention of public officials (i.e...?)

#### 4. 4 policymaking institutions:

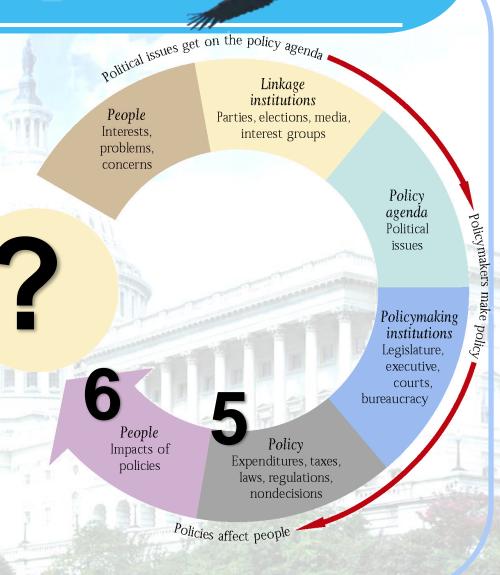
- Legislative branch
- Executive branch
- Judicial branch
- Bureaucracy (?)
  - System of gov → most of important decisions made by state officials rather than by elected representatives



## The Policymaking System

#### 5. Policy created?

- Public Policy is a choice that govt makes in response to a political issue.
  - Taxes, laws, regs, no decisions
- 6. Impact of those policies
  - Effects a policy has on people
     & problems

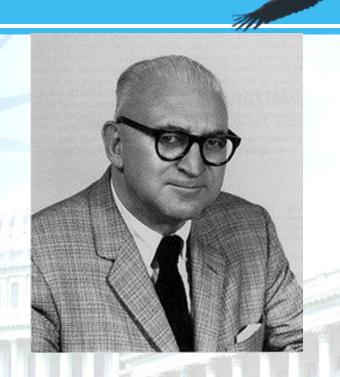


#### **TABLE 1.1** Types of Public Policies

TYPE	DEFINITION	EXAMPLE
Congressional statute	Law passed by Congress	The \$787 billion American Recovery and Reinvestment Act of 2009 is enacted.
Presidential action	Decision by president	An additional 30,000 troops are ordered to deploy to Afghanistan.
Court decision	Opinion by Supreme Court or other court	Supreme Court rules that individuals have a constitutional right to own a gun.
Budgetary choices	Legislative enactment of taxes and expenditures	The federal budget resolution is enacted.
Regulation	Agency adoption of regulation	The Department of Education issues guidelines for qualifying for the federal student loan forgiveness program.

#### Lasswell's Model

- Harold D. Lasswell's definition of POLITICS:
   "Who gets what, when, & how"
  - Who voters, candidates, groups, & parties
  - What substance of politics & gov't (benefits, such as Medicare, new taxes)
  - How people participation (voting, supporting, compromising, lobbying, & so forth)
- Political participation
  - The ways in which people get involved in politics
    - Elderly vote more than others.
    - Single issue groups
      - groups so concerned with 1 issue that members cast votes on basis of 1 issue



#### The Lasswell Model: What Is Politics?

**"WHO"** gets **"WHAT"** and **"HOW"**Participants Values Methods

#### INDIVIDUALS

- Candidates
- Officeholders
- Supporters
- Voters

#### GROUPS (DEMOGRAPHICS)

- Affluent/Poor
- Whites/Blacks/Hispanics/Asian
- Elderly/Young
- Working Class/Labor/Business
- Blue Collar/White Collar
- Men/Women
- Farmers/Bankers/Real Estate/Construction/Sales
- Catholics/Protestants/Jews
- Northerners/Southerners
- Liberals/Conservatives

#### ORGANIZATIONS (FACTIONS)

- AFL-CIO
- AARP
- ACLU
- NRA
- RNC/DNC
- NOW

#### BROADLY: ADVANTAGES FOR ONESELF OR FOR ONE'S GROUP AT THE EXPENSE OF OTHER INDIVIDUALS AND/OR GROUPS

#### (WINNERS AND LOSERS)

- Deference
- Prestige
- Recognition
- Influence
- Access
- Jobs
- Public Office
- Contracts
- Acceptance
- Justice
- Fair play
- Public policy
- Status quo
- "Public interest"

#### WHEN

- Immediately
- Later

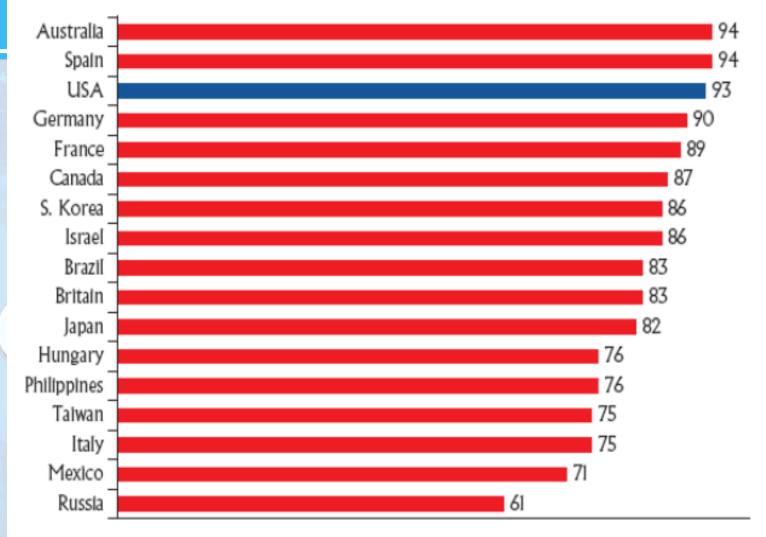
- Platforms
- Campaigns
- Speeches
- Rallies
- Public Relations
- Media exposure
- Registration
- Voting
- · Campaign contributions
- Lobbying
- Coalition building
- Bargaining
- Compromise
- Logrolling
- Intimidation
- Bribery
- Violence
- Expanding the conflict

## American Political Culture & Democracy

- Political culture
  - Overall set of values widely shared w/in society
  - •5 elements are:
    - Liberty (freedoms)
      - Egalitarianism (equality)
      - Individualism
      - Laissez-faire (hands off)
      - Populism (power to the people)



#### FIGURE 1.5 Assessment of Democracy by Citizens in Various Countries



Most citizens in most democracies believe that democracy is the best form of government. This figure shows the percentages in various democracies who said "strongly agree" or "agree" in response to the following: "Please tell me how strongly you agree or disagree with the following statement: 'Democracy may have problems but it's better than any other form of government.' Do you agree strongly, agree, disagree, or disagree strongly with this statement?"

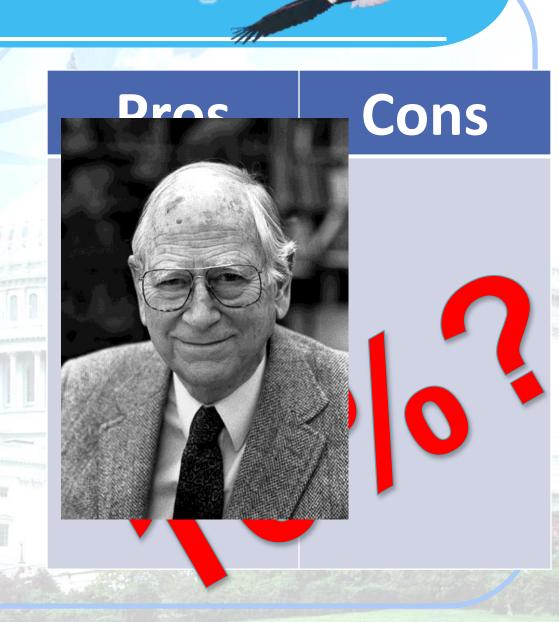
Percent who believe democracy is the best form of government

Source: Authors' analysis of the Comparative Study of Electoral Systems, module 2 (2001–2006).



#### **Traditional Democratic Theory**

- TD → system in which policy represents & responds to the publics preferences
  - Five components of TD Theory: (Robert Dahl)
  - Equality in votingEffective participation
    - Enlightened understanding
    - Citizen control of the agenda
    - Inclusion
- Majority Rule / Minority rights
- Representation



## TD examples

- Ancient Athens
- Britain (Magna Carta & Parliament)
- •US 1776
- •France 1789 (kind of)
- Foundation of modern democracy

## **Elite/Class Theory**

- Explanation: Majority of political power & influence is held by a small number of individuals, groups, & industries
- Characteristics: Government policies disproportionately favor the elite over everyone else

Pros	Cons	

## **Elite/Class Theory**











## **Pluralist Theory**

- Explanation: People with common interests form organized groups to promote their causes & influence the political agenda.
- Characteristics: No single group, individual, or industry dominates politics. Healthy competition exists between all.

Pros	Cons

## **Pluralist Theory**





LEAGUE OF WOMEN VOTERS®













® Focus on the Family

## **Hyperpluralist Theory**

- Explanation: same as Elite, but some groups wield too much power & influence
- Characteristics: result is total gridlock in government where too many groups are competing & refuse to compromise with each other

Pros	Cons

## **Hyperpluralist Theory**





### **Bureaucratic Theory**

- Explanation: NON-ELECTED Officials or members of the government have control over public policy & make decisions they think best.
- Characteristics: often result of turf battle or budget battles between agencies.
  - Often based on the special interests of the agency

4		
Pros	Cons	

### **Bureaucratic Theory**

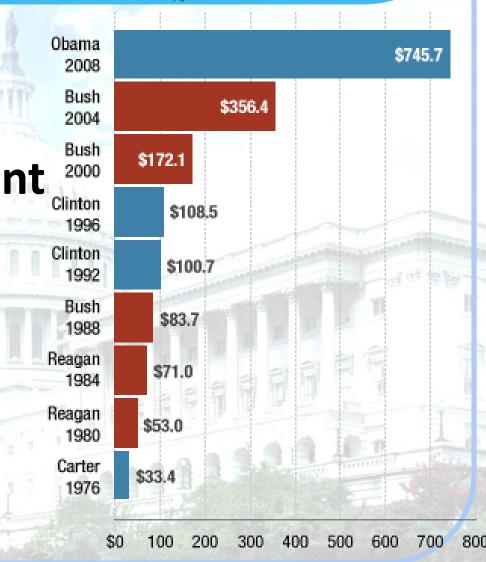






## Challenges to Democracy

- Increased Technical Expertise
  - Politicians STILL know more than average citizen
- Limited Participation in Government
  - APATHY is getting worse
- Escalating Campaign Costs
  - Obama spent record \$1 billion
    - House run costs at least \$500,000
- Diverse Political Interests
  - Policy gridlock



## **Escalating Campaign costs**





#### **FORSALE**:

#### PRESIDENTIAL ELECTIONS

See who donated to the Presidential Elections in 2012.

There's an individual donation limit of \$2500. The money is usually collected by PACs (Political Action Committees), that collect the money on behaf of invididuals and then spend or donate in bulk.

The corporations themselves can't donate.

See more of this infographic at Demonocracy.info

#### Largest donors to Presidential Candidates







ROMNEY

































Google \$304,000

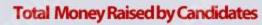








Google





Harvard University \$277,000





\$422,000

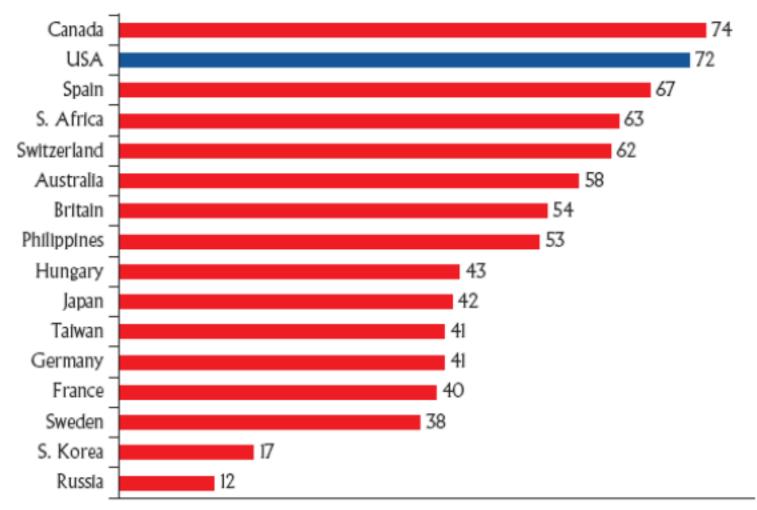




**FOR HIGHER RESOLUTION** 

DEMON • OCRACY INFO

#### FIGURE 1.6 Pride in Various Countries Regarding Equal Treatment of Groups



Americans rank very high in terms of being proud of their country's fair and equal treatment of all groups. This figure shows the percentages who said "very proud" or "somewhat proud" in response to the question, "How proud are you of [country] in . . . its fair and equal treatment of all groups—very proud, somewhat proud, not very proud, not proud at all?"

Percent proud of equal treatment of all groups in their country

Source: Authors' analysis of 2003 International Social Survey Program surveys.

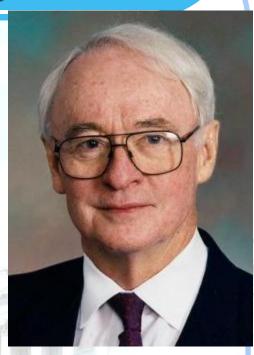
### **Democracy debate**





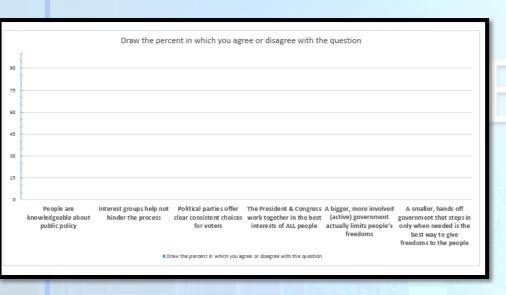
Wilson believes
 America is a more polarized nation today than EVER

Other scholars →
 relatively little
 evidence



James Q. Wilson

## **Questions About Democracy**



- Are people knowledgeable about public policy?
  - Do they apply what they know to voting?
- Do interest groups help or hinder the process?
- Do political parties offer clear consistent choices for voters?
- Does the President & Congress work together in the best interests of ALL people?

## The Scope of Government in America

8/30/2015

Feds wildly disagree on number of agencies, range is 60-430 | Washington Examiner



#### Feds wildly disagree on number of agencies, range is 60-430

By PAUL BEDARD • 8/26/15 3:35 PM

How big is the federal government? So big, it has lost count of just how many department and agencies it has, according to a federal watchdog group.

Quoting federal officials, the <u>Competitive Enterprise Institute</u> said the number given ranges from a mere 60 to a whopping 430.

In face, Clyde Wayne Crews, vice president of policy for CEI, found this gem of a quote inside the Administrative Conference of the United States source book. It lists 115 agencies in the appendix but adds:

- How Active Is American Government?
  - Spends \$3.8 (3.455) trillion yearly.
  - Employs 2.8 (4.1) million civilians & 1.4 million in the military.
  - It owns one-third of the land in the United States
  - It occupies 2.6 billion square feet of office space

#### Federal Government Employees

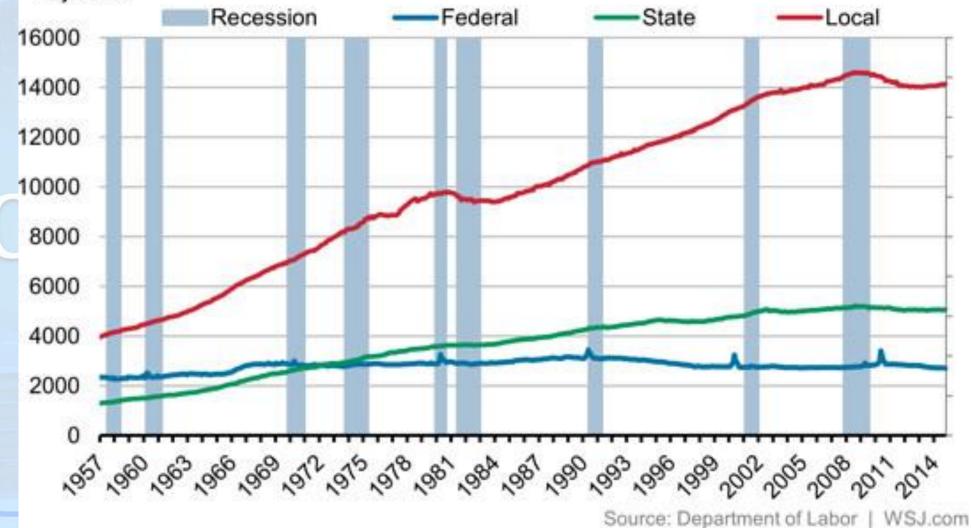
Employees of the federal government, in thousands, seasonally adjusted. Now at the lowest level since July 1966.



Source: Department of Labor | WSJ.com

#### **Government Growth**

Government employees at the federal, state and local level (in thousands) seasonally adjusted



#### The Most And Least Understood U.S. Government Agencies

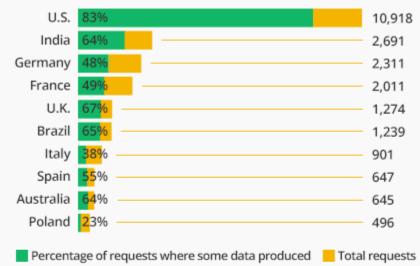
% of Americans who understand what these selected government agencies do

The Food and Drug Administration or FDA 92% The Federal Bureau of Investigation or FBI 90% The Internal Revenue Service or IRS 90% CDC The Centers for Disease Control or CDC 89% The Department of Homeland Security 85% The Central Intelligence Agency or CIA 84% The US Mint 83% The Federal Aviation Administration or FAA 81% The Transportation Security Administration or TSA 77% The Federal Communications Commission or FCC 75% The Securities and Exchange Commission or SEC 62% The National Institutes of Health or NIH 56%



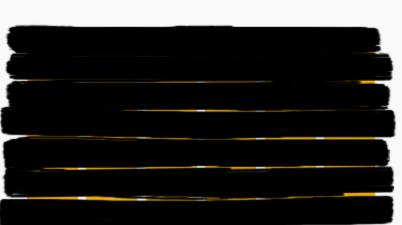
Since July 2009, government requests for Google users' information have more than doubled 25,879 U.S. Global U.S. India 20,938 Germany France U.K. 12,539 Brazil 10,918 7,969 Spain 55% 3,580 Australia 64% Poland 23% Jul-Dec 2009 Jan-Jun 2012 Jan-Jun 2013 From January to June 2013, Google received 10,918 requests from the U.S. government 6% 68% 22% Other court Subpoenas Warrants orders 2% Pen register orders 1% Emergency disclosure requests

The top 10 countries requesting user information from January to June 2013 span the globe



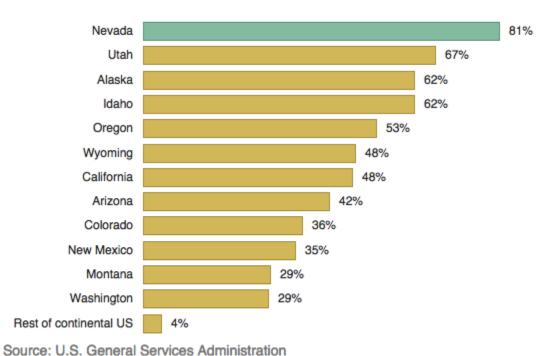


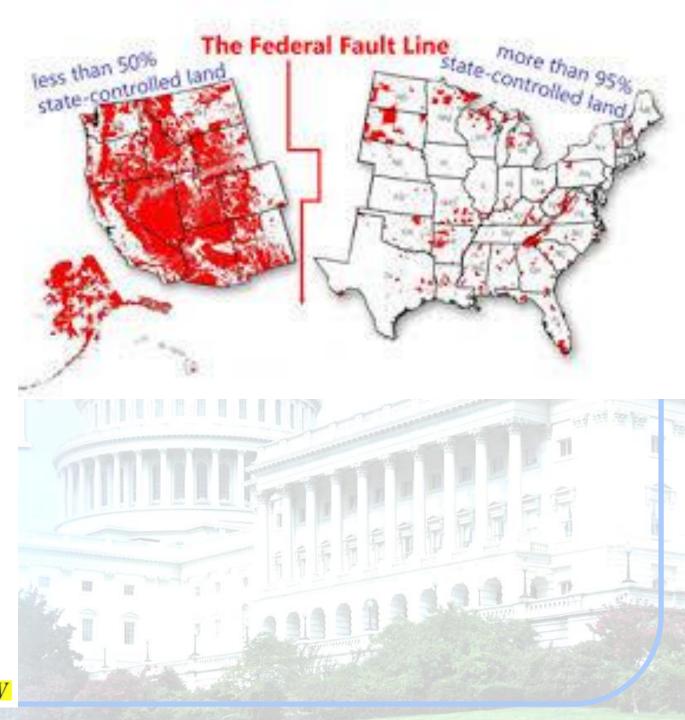
(The U.S. government contends that we cannot share this information)



## Land owned by federal government in each state

As of 2014







Total Federal Spending 2015: \$3.9 trillion

Interest On Debt \$251 billion / 6.3%

Veterans Benefits \$161 billion / 4.1%

Food & Agriculture \$130 billion / 3.3%

Transportation \$103 billion / 2.6%

Housing & Community \$102 billion / 2.6%

Education \$80.9 billion / 2.0%

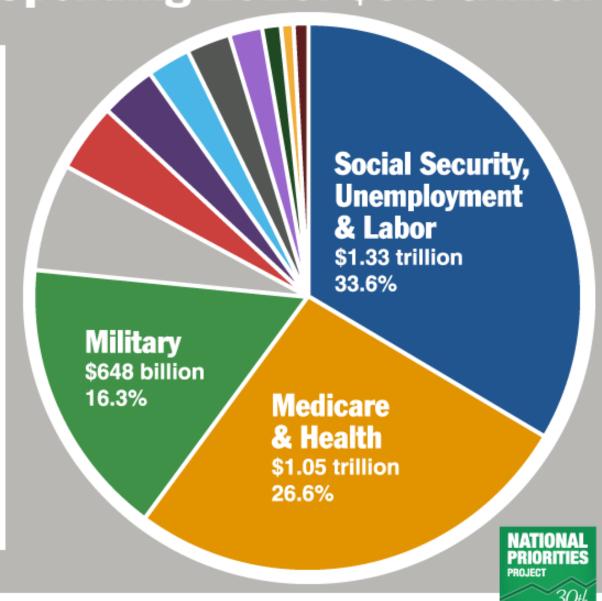
Energy & Environment \$44.5 billion / 1.1%

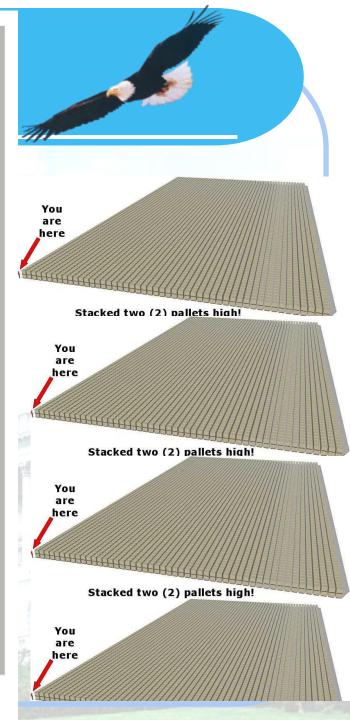
Science \$29.3 billion / 0.7%

International Affairs \$27.2 billion / 0.7%

Government \$2.7 billion / 0.1%

\* not shown

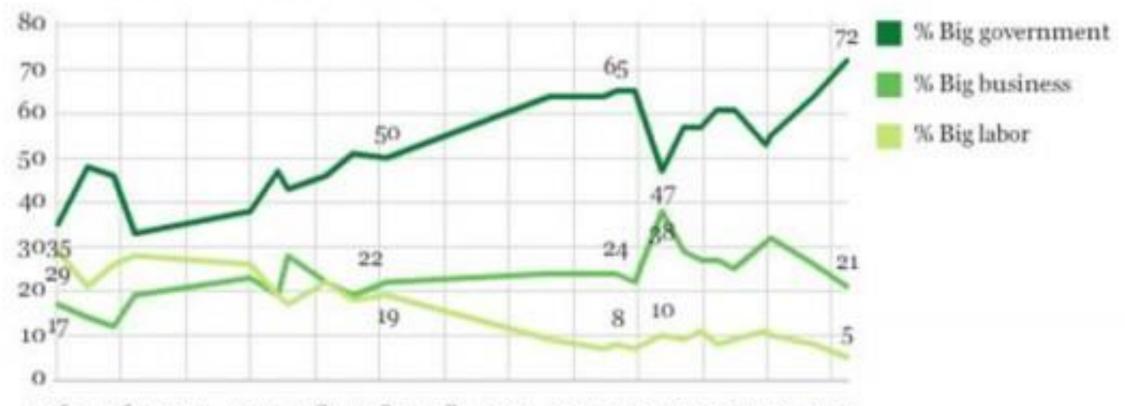




ANNIVERSARY

#### Views of Biggest Threat to U.S. in Future

In your opinion, which of the following will be the biggest threat to the country in the future — big business, big labor, or big government?



1965 1969 1973 1977 1981 1985 1989 1993 1997 2001 2005 2009 2013

#### **Examples of Public Goods (Collective)**

Pure public goods are non-excludable and non-rival in consumption



Clean air

Public goods are also known as collective consumption goods



Sanitation infrastructure



Reduced risk of disease from vaccinations



Flood defence / tidal barrage



Freely available knowledge e.g. online learning



Crime control for a whole community



Public service broadcasting



